



Attorney/Docket No: USP2364T/TP01.0-NTU

DECLARATION FOR UTILITY PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Sequence Based Indexing and Retrieval Method for Text Documents

the specification of which is attached hereto unless the following box is checked:

☒ was filed on 03/17/2004 as United States Application Number or PCT International Application Number 10/803,478 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing data of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

(Number)	(Country)	(Day/Month/Year Filed)	Priority Claimed <input type="checkbox"/> Yes <input type="checkbox"/> No	Certified Copy Attached <input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

☐ Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

I hereby claimed the benefit under 35 USC 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (Day/Month/Year)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet attached hereto.
_____	_____	
_____	_____	

I hereby appoint the following attorney(s) and/or agent(s), with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Raymond Yat Chan, Reg. No. 37,484

Address all correspondence to: **108 North Ynez Avenue, Suite 128, Monterey Park, CA 91754, U.S.A.**

Telephone Calls to: **(626) 571-9812**

Facsimile Calls to: **(626) 571-9813**

I hereby declare that all Statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Yih-Kuen, TSAY

Inventor's signature Yih-Kuen Tsay Date April 16, 2004
Residence Same as below Citizenship R.O.C
Mailing Address No. 1, Section 4, Roosevelt Road, Taipei, Taiwan, ROC

Full name of second joint inventor, if any (given name, family name) Ching-Lin, YU

Second Inventor's signature Ching-Lin Yu Date April 16, 2004
Residence Same as below Citizenship R.O.C
Mailing Address No. 1, Section 4, Roosevelt Road, Taipei, Taiwan, ROC

☒ Additional inventors are being named on separately numbered sheets attached hereto.

Additional Inventors- First Sheet

Full name of third joint inventor (given name, family name) Yu-Fang, CHEN
Third Inventor's signature Yu-Fang Chen Date April 16, 2024
Residence Same as below Citizenship R.O.C.
Mailing Address No. 1, Section 4, Roosevelt Road, Taipei, Taiwan, ROC

Full name of fourth joint inventor, if any (given name, family name) _____
Fourth Inventor's signature _____ Date _____
Residence _____ Citizenship _____
Mailing Address _____

Full name of fifth joint inventor (given name, family name) _____
Fifth Inventor's signature _____ Date _____
Residence _____ Citizenship _____
Mailing Address _____

Full name of sixth joint inventor, if any (given name, family name) _____
Sixth Inventor's signature _____ Date _____
Residence _____ Citizenship _____
Mailing Address _____

Full name of seventh joint inventor (given name, family name) _____
Seventh Inventor's signature _____ Date _____
Residence _____ Citizenship _____
Mailing Address _____

Full name of eighth joint inventor, if any (given name, family name) _____
Eighth Inventor's signature _____ Date _____
Residence _____ Citizenship _____
Mailing Address _____

Full name of ninth joint inventor (given name, family name) _____
Ninth Inventor's signature _____ Date _____
Residence _____ Citizenship _____
Mailing Address _____

Full name of tenth joint inventor, if any (given name, family name) _____
Tenth Inventor's signature _____ Date _____
Residence _____ Citizenship _____
Mailing Address _____



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: National Taiwan University
Filing Date: 03/17/2004
Serial No.: 10/803,478
Examiner: _____
Art Unit: _____

Title Sequence Based Indexing and Retrieval Method for Text Documents

To: The Commissioner for Patents
Alexandria, VA 22313-1450

POWER OF ATTORNEY

As a named assignee of the entire interest of the above identified application, I hereby appoint the following attorney(s) and/or agent(s) to prosecute the application identified above, and to transact all business in the Patent and trademark Office connected therewith:

Raymond Yat Chan, Reg. No. 37,484

Please Send Correspondence to:

Raymond Y. Chan
108 North Ynez Avenue
Suite 128
Monterey Park, CA 91754

Please Direct Telephone Calls to:

Raymond Y. Chan
(626) 571-9812

NAME OF ASSIGNEE: National Taiwan University
NAME OF PERSON SIGNING: Wei-Jao, CHEN
TITLE: President
ADDRESS: No. 1, Section 4, Roosevelt Road, Taipei, Taiwan, ROC

Wei-Jao Chan
SIGNATURE

April 14, 2004
DATE



Applicant or Patentee: _____ Attorney's
Serial or Patent No.: _____ Docket No.: USP2354T/TP010-NTU
Filed or Issued: _____
For: _____

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (c)) – SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: National Taiwan University

Address of Concern: No. 1, Section 4, Roosevelt Road, Taipei, Taiwan, ROC

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41(a) and (b) of title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power of control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled:

Sequence Based Indexing and Retrieval Method for Text Documents
described in:

- ☒ the specification filed herewith
☒ application serial no. 10/803,478, filed 003/17/2004
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as a small entities. (37 CFR 1.27)

Full Name:

Address: ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

Full Name:

Address: ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statements is directed.

Name of Person Signing: Wei-Jao, CHEN

Title of Person Other than Owner: President

Address of Person Signing: No. 1, Section 4, Roosevelt Road, Taipei, Taiwan, ROC

Signature

Date